

# Introduction to Noncommutative Topology

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# Topology

Three flavors of topology:

General (point-set) topology

Algebraic topology

“Combinatorial topology”

We will mostly only consider topology of compact Hausdorff spaces.

## Algebraic Approach to Topology

Let  $X$  be a topological (compact Hausdorff) space.

**Idea:** Study  $X$  by studying real- or complex-valued continuous functions on  $X$ . (Basic philosophy of Functional Analysis)

Let  $C(X)$  be the set of complex-valued continuous functions on  $X$ . Study  $X$  by studying  $C(X)$ .

$C(X)$  is a unital ring (algebra over  $\mathbb{C}$ ). Theme: Algebraic properties of  $C(X)$  encode the space  $X$  and its topology.

## Recovering $X$ from $C(X)$

Common construction in mathematics (algebraic geometry): If  $R$  is a ring, associate to  $R$  a topological space of ideals of  $R$ .

Technicality: must use prime ideals.

### Definition:

If  $R$  is a unital ring, let  $\text{Maxspec}(R)$  be the set of maximal ideals of  $R$ .

### Proposition:

The maximal ideals of  $C(X)$  are in one-one correspondence with the points of  $X$ :

$$p \in X \leftrightarrow M_p = \{f \in C(X) \mid f(p) = 0\}$$

## Recovering the Topology

If  $R$  is a unital ring, put a topology on  $\text{Maxspec}(R)$  (hull-kernel topology):

If  $S = \{M_\lambda | \lambda \in \Lambda\}$  is a set of maximal ideals in  $R$ , set

$$\bar{S} = \{M \in \text{Maxspec}(R) | \bigcap_\lambda M_\lambda \subseteq M\} .$$

### Theorem:

Let  $X$  be a compact Hausdorff space. If  $\text{Maxspec}(C(X))$  is identified with  $X$  via  $p \leftrightarrow M_p$ , the hull-kernel topology on  $\text{Maxspec}(C(X))$  is precisely the original topology on  $X$ .

## Another Way to Recover $X$

Each  $p \in X$  corresponds to an algebra-homomorphism  $\phi_p$  from  $C(X)$  to  $\mathbb{C}$ :

$$\phi_p(f) = f(p)$$

These are all the algebra-homomorphisms from  $C(X)$  to  $\mathbb{C}$ .

The kernel of  $\phi_p$  is  $M_p$ .

### Theorem:

Let  $\widehat{C(X)} = \{\phi_p | p \in X\}$ . Identify  $\widehat{C(X)}$  with  $X$  and  $\text{Maxspec}(C(X))$  by  $p \leftrightarrow \phi_p \leftrightarrow M_p$ . Then the weak-\* topology (topology of pointwise convergence) coincides with the hull-kernel topology on  $\text{Maxspec}(C(X))$  and the original topology on  $X$ .

## C\*-Algebras

### Definition:

A C\*-algebra is a complex Banach algebra  $A$ , with an involution  $*$  satisfying

$$(x + y)^* = x^* + y^*, (\lambda x)^* = \bar{\lambda}x^*, (xy)^* = y^*x^*, (x^*)^* = x$$

for all  $x, y \in A, \lambda \in \mathbb{C}$ , and satisfying the C\*-axiom

$$\|x^*x\| = \|x\|^2 \text{ for all } x \in A .$$

Ingredients:

- (i) Algebraic structure: complex vector space, ring, adjoint
- (ii) Topological structure: norm

## Standard Examples

1.  $\mathcal{B}(\mathcal{H})$ ,  $\mathcal{H}$  a Hilbert space, or any norm-closed  $*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$  (concrete  $C^*$ -algebra). In particular,  $\mathbb{M}_n = M_n(\mathbb{C}) \cong \mathcal{B}(\mathbb{C}^n)$ .
2.  $C(X)$ ,  $X$  a compact Hausdorff space. The norm is the supremum norm, and the adjoint is complex conjugation.

### Theorem (Gelfand-Naimark 1943):

- (a) Every  $C^*$ -algebra is isometrically  $*$ -isomorphic to a norm-closed  $*$ -subalgebra of  $\mathcal{B}(\mathcal{H})$  for some Hilbert space  $\mathcal{H}$ .
- (b) Every unital commutative  $C^*$ -algebra is isometrically  $*$ -isomorphic to  $C(X)$  for some compact Hausdorff space  $X$ .

$C^*$ -Algebras are not necessarily unital. In this talk we will restrict attention to unital  $C^*$ -algebras, and all homomorphisms ( $*$ -homomorphisms) will be assumed to be unital too. Such homomorphisms are automatically norm-decreasing, and isomorphisms are automatically isometric.

Thus  $C^*$ -algebras are “noncommutative topological spaces.”  
The correspondence between  $X$  and  $C(X)$  goes much deeper:

### Theorem (Gelfand):

The correspondence  $X \leftrightarrow C(X)$  is a contravariant category equivalence between the category of compact Hausdorff spaces and continuous maps and the category of unital commutative  $C^*$ -algebras and (unital)  $*$ -homomorphisms.

This means also: if  $f : X \rightarrow Y$  is a continuous function, then there is a corresponding unital  $*$ -homomorphism  $\tilde{f}$  from  $C(Y)$  to  $C(X)$ , with nice properties, and every unital  $*$ -homomorphism is of this form. For one direction,  $\tilde{f}(g) = g \circ f$ ; for the other, if  $\psi : C(Y) \rightarrow C(X)$  is a  $*$ -homomorphism, and  $p \in X$ , then  $\phi_p \circ \psi$  is a homomorphism from  $C(Y)$  to  $\mathbb{C}$ , hence of the form  $\phi_q$  for some  $q \in Y$ ; set  $f(p) = q$ . Check that  $f$  is continuous and  $\tilde{f} = \psi$ .

Thus, in principle,  $C(X)$  encodes the entire topological structure of  $X$ , and all of topology (of compact Hausdorff spaces) can be done on the  $C^*$ -algebra level.

**Admission:** This is *not* the way to do most of topology!

However, some topological notions, e.g.  $K$ -theory, are done very efficiently at the  $C^*$ -algebra level and provide important tools in the theory of Operator Algebras.

The interaction goes both ways: Operator Algebras have nice applications in topology and geometry.

## Noncommutative Homotopy and Shape Theory

If  $X$  and  $Y$  are spaces and  $f_0, f_1$  are continuous functions from  $X$  to  $Y$ , then  $f_0$  and  $f_1$  are *homotopic* if there is a continuous function  $h : [0, 1] \times X \rightarrow Y$  such that  $h(0, x) = f_0(x)$  and  $h(1, x) = f_1(x)$  for all  $x \in X$ . If  $f_t : X \rightarrow Y$  is defined by  $f_t(x) = h(t, x)$ , then the  $f_t$  give a “continuous deformation” from  $f_0$  to  $f_1$ .

Exact  $C^*$ -analog: If  $\alpha_0, \alpha_1$  are  $*$ -homomorphisms from a  $C^*$ -algebra  $A$  to a  $C^*$ -algebra  $B$ , a *homotopy* from  $\alpha_0$  to  $\alpha_1$  is a  $*$ -homomorphism  $\beta : A \rightarrow C([0, 1], B)$  with  $\phi_0 \circ \beta = \alpha_0$  and  $\phi_1 \circ \beta = \alpha_1$ , where  $\phi_t : C([0, 1], B) \rightarrow B$  is evaluation at  $t$ .

Can do homotopy theory and shape theory (generalized homotopy theory) for  $C^*$ -algebras.

## Interlude: The Stone-Čech Compactification

If  $X$  is a completely regular topological space, then  $X$  can be embedded as a dense subspace of a compact Hausdorff space  $Y$  in a maximal way.  $Y$  is called the *Stone-Čech compactification* of  $X$ , denoted  $\beta X$ .

Here is a very elegant construction of  $\beta X$ : let  $C_b(X)$  be the set of bounded complex-valued continuous functions on  $X$ . With the usual operations and supremum norm,  $C_b(X)$  is a commutative  $C^*$ -algebra, hence of the form  $C(Y)$  for some compact Hausdorff space  $Y$ .  $X$  embeds in  $Y$  since point evaluation at any point of  $X$  is a homomorphism from  $C_b(X)$  to  $\mathbb{C}$ . It is straightforward to show that  $Y$  has the right universal property, hence  $Y \cong \beta X$ .

Noncommutative version: multiplier algebra of a  $C^*$ -algebra.

## Applications

Traditional:

Group representations

Mathematical physics (quantum mechanics)

Dynamical systems

Modern:

Topology and geometry

Free probability

ETC.

## Key Application: Dynamical Systems

A (*topological*) *dynamical system* is an action of a group  $G$  (e.g.  $\mathbb{Z}$ ,  $\mathbb{R}$ ) on a topological space  $X$  by homeomorphisms.

If  $G$  is a topological group (e.g.  $\mathbb{R}$ ), need a continuity condition.

We will restrict to the case where  $G$  and  $X$  are locally compact.

If  $G$  acts on  $X$  by homeomorphisms, it acts on  $C_0(X)$  by automorphisms (and conversely).

### Definition:

A  *$C^*$ -dynamical system*  $(A, G, \alpha)$  is a (continuous) action  $\alpha$  of a locally compact topological group  $G$  on a  $C^*$ -algebra  $A$ , i.e. a (continuous) homomorphism from  $G$  into  $\text{Aut}(A)$ .

If  $(A, G, \alpha)$  is a  $C^*$ -dynamical system, can construct a *crossed product  $C^*$ -algebra*  $A \rtimes_{\alpha} G$ . Roughly speaking, it contains  $A$  as a  $C^*$ -subalgebra and a group of unitary elements isomorphic to  $G$  which conjugate  $A$  by  $\alpha$ .

In particular, if  $G$  acts on  $X$ , can form the *transformation group  $C^*$ -algebra*  $C(X) \rtimes_{\alpha} G$ .

The crossed product is an important tool in studying dynamical systems.

**Example:** Putnam *et al.* used  $C^*$ -algebras to classify minimal homeomorphisms ( $\mathbb{Z}$ -actions) of the Cantor set, critical to symbolic dynamics.

More generally, a  $C^*$ -algebra can be constructed from certain groupoids (Kumjian).

## Group C\*-Algebras

Important special case:  $X$  is a single point,  $G$  acting trivially. The crossed product is called the *group C\*-algebra*  $C^*(G)$ .

The group C\*-algebra has long been a key tool in studying unitary representations of locally compact groups.

## Harmonic Analysis and Pontrjagin Duality

Harmonic analysis = analysis on a locally compact abelian group  $G$  (e.g.  $\mathbb{T}$ ,  $\mathbb{R}$ ) via Fourier transform to the Pontrjagin dual group  $\hat{G}$ .

Connection with group  $C^*$ -algebras: if  $G$  is a locally compact abelian group, then  $C^*(G)$  is a commutative  $C^*$ -algebra, hence of the form  $C_0(Y)$  for some locally compact Hausdorff space  $Y$ . It turns out that  $Y$  is  $\hat{G}$ , and the identification of  $C^*(G)$  with  $C_0(\hat{G})$  is just the Fourier transform.

## Topological $K$ -Theory

(Complex)  $K$ -theory is the theory of (complex) vector bundles. If  $X$  is a compact Hausdorff space, the isomorphism classes of (complex) vector bundles over  $X$  form an abelian semigroup  $V(X)$  under Whitney sum.

### Definition:

$K^0(X)$  is the Grothendieck group (group of formal differences) of  $V(X)$ .

Can extend to locally compact spaces. Define  $K^1(X) = K^0(SX)$ , where  $SX = X \times \mathbb{R}$ . Inductively define  $K^{n+1}(X) = K^n(SX)$ .

Some of the key facts about (complex) topological  $K$ -theory:

### Theorem:

(i)  $K$ -Theory is an extraordinary cohomology theory on (locally) compact Hausdorff spaces.

(ii) (**Bott Periodicity**)  $K^2(X) = K^1(SX) = K^0(S^2X) \cong K^0(X)$  for any  $X$ .

(iii) (**Chern Character**) If  $X$  is a compact Hausdorff space, then

$$K^0(X) \otimes \mathbb{Q} \cong \bigoplus_{n \text{ even}} H^n(X, \mathbb{Q})$$

$$K^1(X) \otimes \mathbb{Q} \cong \bigoplus_{n \text{ odd}} H^n(X, \mathbb{Q})$$

## $K_0$ -Theory

$K$ -Theory can be done very nicely at the  $C^*$ -algebra level. Begin with  $K^0$ , which works for general rings.

Let  $A$  be a unital  $C^*$ -algebra (or unital ring). Consider projections (idempotents) in matrix algebras over  $A$ . If  $p$  is a projection in  $M_n(A)$ , for  $m > n$  identify  $p$  with the projection  $\text{diag}(p, 0)$  in  $M_m(A)$ . Consider the equivalence relation on projections in matrix algebras over  $A$  generated by this identification and ordinary equivalence. There is a natural sum on equivalence classes:  $[p] + [q] = [\text{diag}(p, q)]$  (this is well defined!) making the set  $V(A)$  of equivalence classes into an abelian semigroup with identity  $[0]$ .

Let  $K_0(A)$  be the Grothendieck group of  $V(A)$ . (Subscript since it is covariant!)

### Proposition:

If  $X$  is a compact Hausdorff space, then  $K_0(C(X))$  is naturally isomorphic to  $K^0(X)$ .

$K_0$  of a nonunital  $C^*$ -algebra (nonunital ring) can also be defined.

Topological  $K^1$  also has a construction on the  $C^*$ -algebra level (not in general rings!):

### Definition:

Let  $A$  be a unital  $C^*$ -algebra. Then

$$K_1(A) = \lim_{\rightarrow} U(M_n(A))/U(M_n(A))_0 = \lim_{\rightarrow} GL_n(A)/GL_n(A)_0 .$$

We have  $K_1(C(X)) \cong K^1(X)$  (not as obvious).

$K$ -Theory has become one of the most fundamental tools in the study of  $C^*$ -algebras. One important feature of  $C^*$ -algebra  $K$ -theory, not widely used in topological  $K$ -theory, is a partial order on  $K_0$  (taking the image of  $V(A)$  as positive cone).

There has recently been a complete classification of a large class of simple  $C^*$ -algebras, essentially via  $K$ -theory.

## Dimension Theory

How do we define the dimension of a topological space? This is difficult, and there are many ways to do it:

- Covering dimension

- Small and large inductive dimension

- ...

A remarkable theorem says that all these dimension theories agree (give the same numerical dimension) on all separable metrizable spaces, in particular all compact metrizable spaces, and the dimension of  $\mathbb{R}^n$  is  $n$ .

Covering dimension can be rephrased in algebra language in several different ways, giving various definitions of “dimension” for  $C^*$ -algebras:

Stable rank

Real rank

Nuclear dimension

...

These do *not* agree even on elementary examples of  $C^*$ -algebras, and each gives different structural information on the algebra.

Nuclear dimension turned out to be the last main piece of the story in the classification program.

## Combinatorial (PL) Topology

A *triangulation* of a (compact metrizable) space  $X$  is a homeomorphism from  $X$  onto a simplicial complex  $K$ .

**Idea:** If  $X$  is triangulated by  $K$ , the topology of  $X$  is encoded in the combinatorics of  $K$ .

**PL Topology:** Only consider maps between spaces which are piecewise linear (affine) with respect to some triangulations of the spaces.

For the general setting, we consider the following fact:

### Theorem:

Every compact metrizable space can be written as an inverse limit of a sequence of simplicial complexes with (surjective) piecewise-linear connecting maps.

$$X \rightarrow \cdots \rightarrow K_3 \rightarrow K_2 \rightarrow K_1$$

In fact, the simplicial complexes can be subdivided so that the continuous functions from  $X$  to  $\mathbb{C}$  of the form  $f \circ \phi_n$ , where  $\phi_n : X \rightarrow K_n$  is the connecting map and  $f : K_n \rightarrow \mathbb{C}$  is piecewise-linear, are dense in  $C(X)$ .

This construction is used e.g. in defining Čech cohomology.

### Definition:

A *weak triangulation* of a compact metrizable space  $X$  is a continuous map from  $X$  to a simplicial complex  $K$ .

The theorem can be interpreted to say that a general compact metrizable space can be “approximately triangulated,” i.e. arbitrarily approximated by weak triangulations.

There is a (weak) sense in which the approximation is unique (related to shape theory).

## Algebraic Version of Weak Triangulation

If  $X$  is a compact metrizable space, a weak triangulation of  $X$  by a simplicial complex  $K$  with  $n$  vertices is effectively the same thing as a unital completely positive map from  $\mathbb{C}^n$  to  $C(X)$ . If  $f : X \rightarrow K$  is the weak triangulation, the image of  $\mathbb{C}^n$  in  $C(X)$  is the set of continuous functions from  $X$  to  $\mathbb{C}$  of the form  $g \circ f$ , where  $g : K \rightarrow \mathbb{C}$  is piecewise-linear.

The theorem can then be restated:

### Theorem:

Let  $X$  be a compact metrizable space. Then there is a coherent sequence of unital completely positive maps  $\phi_k : \mathbb{C}^{n_k} \rightarrow C(X)$  and  $\phi_{k,j} : \mathbb{C}^{n_k} \rightarrow \mathbb{C}^{n_j}$  ( $k < j$ ) such that the  $\phi_{k,j}$  are “asymptotically multiplicative” and  $\cup_k \phi_k(\mathbb{C}^{n_k})$  is dense in  $C(X)$ .

$$\mathbb{C}^{n_1} \rightarrow \mathbb{C}^{n_2} \rightarrow \dots \rightarrow C(X)$$

Thus  $C(X)$  is an “inductive limit” of finite-dimensional commutative  $C^*$ -algebras with unital completely positive, asymptotically multiplicative connecting maps.

E. Kirchberg and I studied a noncommutative version:

When can a (separable, unital)  $C^*$ -algebra  $A$  be written as an “inductive limit” of finite-dimensional  $C^*$ -algebras with unital completely positive asymptotically multiplicative connecting maps? We call such a  $C^*$ -algebra an *NF algebra*.

Fact: A finite-dimensional  $C^*$ -algebra is a finite direct sum of matrix algebras over  $\mathbb{C}$ .

### Theorem (B.-Kirchberg):

A separable (unital)  $C^*$ -algebra is an NF algebra if and only if it is nuclear and quasidiagonal.

### Conjecture:

A separable  $C^*$ -algebra is an NF algebra if and only if it is nuclear and stably finite.

Quasidiagonality, although still not well enough understood, plays a crucial role in the classification program.